

## Broadband and adiabatic inversion of a two-level system by phase-modulated pulses

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We describe a class of continuously phase-modulated radiation pulses that result in coherent population inversion on resonance as well as over a large range of transition frequencies and radiation field strengths. This is a population-inversion analogy to self-induced transparency. Simulations of the inversion properties of the modulated inversion pulse (MIP) are presented. It is shown that the inversion behavior can be explained by treating the MIP as a highly efficient adiabatic sweep. Criteria for establishing adiabaticity are discussed in detail. Finally, a method is presented for generating a sequence of phase-shifted radio-frequency pulses, from the continuously modulated pulse, which can be implemented on modern NMR and coherent optical spectrometers; experimental confirmation is given.

### I. INTRODUCTION

#### A. Background

The implementation of population inversion among energy states is an important requirement of many techniques in nuclear magnetic resonance (NMR) and coherent optical spectroscopy, including relaxation-time<sup>1</sup> measurements, spin or photon echoes,<sup>2,3</sup> and spin decoupling.<sup>4</sup> The simplest way to coherently invert populations is with a single  $\pi$  pulse, i.e., a pulse of radiation such that the product of amplitude in angular frequency units and the time in seconds equals  $\pi$ . For good population inversion to be achieved, the difference between the radiation frequency and the resonant frequency of the transition for which the populations are to be inverted must be much smaller than the radiation amplitude. In other words, the inversion bandwidth of a single  $\pi$  pulse is quite limited. Often, it is the case experimentally that the bandwidth of resonant frequencies is comparable to or greater than the available radiation amplitude. In NMR, the bandwidth may result from static magnetic field gradients, chemical shifts, or spin couplings. In coherent optics this may be due to inhomogeneous broadening from crystal strains or Doppler shifts.

An established technique in NMR for inverting spin populations over a large bandwidth is adiabatic rapid passage,<sup>5</sup> in which the frequency of applied radio-frequency (rf) radiation is swept through the resonances at a constant rate that is small compared to the rf amplitude but large compared to the inverse of the relaxation times. Adiabatic sweeps have been employed in coherent optics as well.<sup>6-11</sup> An alternative approach to broadband inversion in NMR was proposed some time ago by Levitt and Freeman.<sup>12</sup> They suggested using a sequence of phase-shifted pulses, collectively called a composite  $\pi$  pulse, to produce inversion over a broad bandwidth. Composite pulses have led to a wide range of applications. Several approaches to their design in NMR (Refs. 4 and 12-27) and coherent optics<sup>28,29</sup> have been described. The original

work was based on computer simulations of spin trajectories and geometrical intuition.<sup>13</sup> This was followed by a more formal analysis in terms of rotation operators.<sup>14-16</sup> More recent developments include an approach based on coherent-averaging theory<sup>17,18</sup> and the introduction of iterative methods for generating composite  $\pi$  pulses.<sup>4,19-22</sup> The coherent averaging theory approach and another approach based on a fictitious spin- $\frac{1}{2}$  formalism have led to composite pulses for coupled spin systems.<sup>23-25</sup>

This paper enlarges upon a recent communication<sup>26</sup> in which we introduced an approach to broadband population inversion that bridges between adiabatic sweeps and composite  $\pi$  pulses. This work—which was subsequently appreciated by Silver, Joseph, and Hoult<sup>27</sup>—was originally motivated by the self-induced transparency effect<sup>30</sup> observed in coherent optical spectroscopy. The phenomenon of self-induced transparency, first discovered and studied by McCall and Hahn, occurs when a radiation pulse with an area of  $2\pi$  and amplitude modulated according to a hyperbolic secant function brings a two-level absorbing system from its ground state back to its ground state regardless of its resonance frequency. In that sense, a hyperbolic secant pulse is a perfectly broadband  $2\pi$  pulse. Allen and Eberly have proposed a similar class of pulses for population inversion, but with both phase and amplitude modulation.<sup>31</sup> If  $\omega_1(t)$  is the amplitude and  $\phi(t)$  the phase of the radiation, the pulse of Allen and Eberly may be written as

$$\omega_1(t) = (\omega_1^0 / \sin \gamma) \operatorname{sech}(\omega_1^0 t), \quad (1)$$

$$\dot{\phi}(t) = (\omega_1^0 \cot \gamma) \tanh(\omega_1^0 t), \quad (2)$$

where  $t$  extends from  $-\infty$  to  $+\infty$ .  $\gamma$  is a parameter that determines the depth of the modulation, with no phase modulation when  $\gamma$  equals  $\pi/2$  and increasing phase modulation as  $\gamma$  approaches zero. This pulse inverts populations in a two-level system regardless of the values of  $\gamma$  and  $\omega_1^0$ , provided that the radiation frequency exactly equals the resonance frequency, i.e., "on resonance." Al-

len and Eberly point out that the pulse resembles an adiabatic sweep for small values of  $\gamma$ , due to the equivalence of phase modulation and frequency modulation. Thus, it may be anticipated that a pulse with phase modulation similar to that of Eq. (2) will have broadband inversion properties. The performance of a class of phase-modulated pulses related to Eqs. (1) and (2) is investigated in detail below. Comparisons with adiabatic sweeps are made.

The relation to a composite  $\pi$  pulse arises from considering a composite  $\pi$  pulse as a single phase-modulated pulse, with a piecewise-constant phase function. A composite  $\pi$  pulse may then be regarded as an approximation of a continuously phase-modulated pulse. One way to generate composite  $\pi$  pulses would be by approximating the continuously varying phase function of a pulse similar to that of Eqs. (1) and (2) by a piecewise-constant function. Procedures for generating composite  $\pi$  pulses from continuously phase-modulated pulses are developed below.

### B. Organization

In Sec. II the class of phase-modulated, constant-amplitude pulses first presented in Ref. 26 is derived from consideration of the magnetization trajectory. Simulations of population inversion performance are given. A general transformation from a pulse with a modulated phase and a constant amplitude to a pulse with both phase and amplitude modulation is introduced, in order to demonstrate the relationship between our pulses and those of Allen and Eberly.

In Sec. III we treat phase-modulated pulses as adiabatic frequency sweeps. Criteria for adiabatic inversion are discussed. They lead to the concept of the efficiency of an adiabatic sweep and to the derivation of a new class of phase-modulated pulses based on efficiency considerations. A comparison of the inversion performance of linear sweeps, pulses derived in Sec. II, and pulses derived from considerations of efficiency is made.

The treatment of adiabaticity in Sec. III suggests that the phase-modulated pulses of Sec. II may invert spin populations over large ranges of rf amplitude as well as large ranges of resonant frequencies. The inversion performance as a function of the rf amplitude is treated in Sec. IV. In Sec. V we describe a method for deriving discrete composite pulse sequences from continuously phase-modulated pulses. Experimental results are presented.

## II. DERIVATION OF PHASE-MODULATED PULSES FOR POPULATION INVERSION

### A. Frames of reference

We begin with a description of two frames of reference, shown in Fig. 1, that are of importance in the remainder of the paper. The first of these is the usual rotating frame.<sup>32</sup> If an isolated-spin or two-level system with resonance frequency  $\omega_0$  is irradiated with a rf pulse with any general amplitude and phase modulation, its motion in the usual rotating frame is determined by the Hamiltonian  $H^{\text{PM}}$  (where PM refers to *phase modulation*):

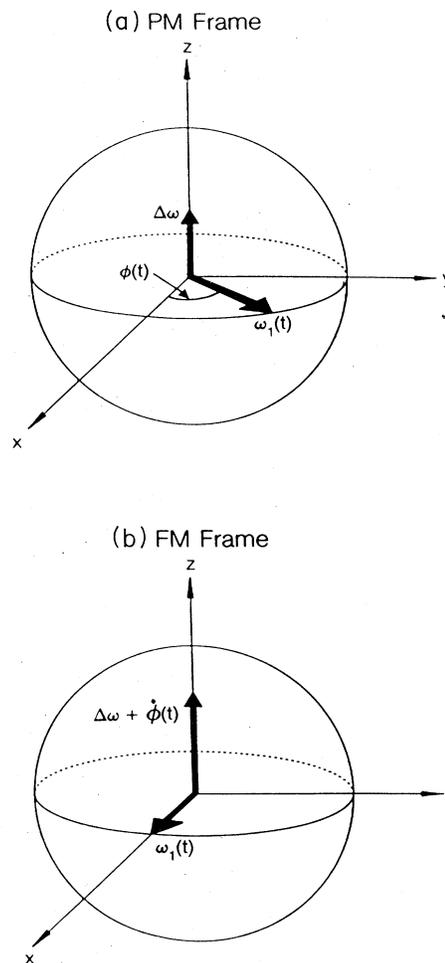


FIG. 1. (a) Phase-modulated (PM) frame. (b) Frequency-modulated (FM) frame. The resonance offset,  $\Delta\omega = \omega_0 - \omega$ , is the difference between the Larmor frequency and the rf carrier frequency. The pulse amplitude and phase are denoted by  $\omega_1(t)$  and  $\phi(t)$ , respectively. In the PM frame, which is the equivalent of the usual rotating frame used in NMR, the phase of the pulse which varies with time gives the direction of the radiation in the  $x$ - $y$  plane. In the FM frame the direction of the radiation in the  $x$ - $y$  plane is fixed, and the time derivative of the phase function,  $\dot{\phi}(t)$ , appears along the  $z$  direction as an additional resonance offset. The two frames are related by a rotation about the  $z$  axis by  $\phi(t)$ .

$$H^{\text{PM}} = \Delta\omega I_z - \omega_1(t) [I_x \cos\phi(t) - I_y \sin\phi(t)] . \quad (3)$$

$\omega_1(t)$  and  $\phi(t)$  are the pulse amplitude and phase;  $\Delta\omega$  is the difference between  $\omega_0$  and the rf carrier frequency  $\omega$ , i.e., the resonance offset.  $H^{\text{PM}}$  is derived from the laboratory-frame Hamiltonian by the transformation  $T^{\text{PM}}$ :

$$T^{\text{PM}} = \exp(-i\omega I_z t) . \quad (4)$$

In this reference frame, which we call the PM frame, the rf frequency appears constant and the phase, i.e., the direction in the  $x$ - $y$  plane, varies. This is seen in Fig. 1(a).

An alternative rotating-frame transformation that is useful in dealing with continuously modulated pulses is accomplished by the unitary operator  $T^{\text{FM}}$  (where FM refers to *frequency modulation*):

$$T^{\text{FM}} = \exp[-i(\omega t + \phi(t))I_z]. \quad (5)$$

In the FM frame, the Hamiltonian is

$$H^{\text{FM}} = [\Delta\omega + \dot{\phi}(t)]I_z - \omega_1(t)I_x, \quad (6)$$

and the time derivative of the phase function appears as an additional resonance offset with the  $x$ - $y$  plane component constant in direction, as shown in Fig. 1(b). That a phase-modulated pulse can be viewed in either the PM or FM frames is a statement of the equivalence of phase and frequency modulation. Of course, due to the design of a typical pulsed NMR spectrometer with its constant-frequency reference, spin evolution is normally observed in the PM frame. For our purposes, the FM frame serves as a useful tool for deriving modulated pulses.

### B. Derivation of phase modulation from magnetization trajectories

An isolated spin can be described in the FM frame by a density operator  $\rho(t)$  of the following form:

$$\rho(t) = \mathbf{M}(t) \cdot \mathbf{I}, \quad (7)$$

where  $\mathbf{M}(t)$  is a three-vector proportional to the magnetization and  $\mathbf{I}$  is a three-vector whose components are the angular-momentum operators.<sup>33</sup> With Eq. (6),  $\mathbf{M}(t)$  satisfies the Bloch equations without relaxation:

$$\frac{d\mathbf{M}}{dt} = (-\omega_1(t), 0, \dot{\phi}(t) + \Delta\omega) \times \mathbf{M}. \quad (8)$$

If the initial condition for  $\mathbf{M}$  is known and if  $\dot{\phi}(t)$  and  $\omega_1(t)$  are given, then Eq. (8) determines the evolution in time of  $\mathbf{M}$ . For arbitrary  $\dot{\phi}(t)$  and  $\omega_1(t)$ , Eq. (8) can be solved by numerical methods for ordinary differential equations. Alternatively,  $\dot{\phi}(t)$  and  $\omega_1(t)$  may be approximated by piecewise-constant functions, possibly by dividing time into small intervals over which  $\dot{\phi}(t)$  and  $\omega_1(t)$  are assigned their respective values at the midpoint of each interval. For each interval with constant  $\dot{\phi}(t)$ , the evolution of  $\mathbf{M}$  is simple.  $\mathbf{M}$  precesses around the effective field vector with  $x$  component  $-\omega_1$  and  $z$  component  $\dot{\phi} + \Delta\omega$  at an angular rate equal to  $[\omega_1^2 + (\dot{\phi} + \Delta\omega)^2]^{1/2}$ . The length of  $\mathbf{M}$  is conserved. If  $\mathbf{M}$  is assumed to have unit length,  $\mathbf{M}$  follows a trajectory on a unit sphere. A trajectory of  $\mathbf{M}$  from  $+z$  to  $-z$  corresponds to the inversion of spin state populations.

An important question which now arises is the following: given a trajectory for  $\mathbf{M}(t)$ , how can we determine the  $\dot{\phi}(t)$  and  $\omega_1(t)$  which will yield that trajectory? We begin our consideration of this question with a class of trajectories that is of particular importance in the rest of the paper, namely those that follow a great circle from  $+z$  to  $-z$  in the FM frame, as depicted in Fig. 2(a). The Appendix presents a formalism for treating other trajectories. A

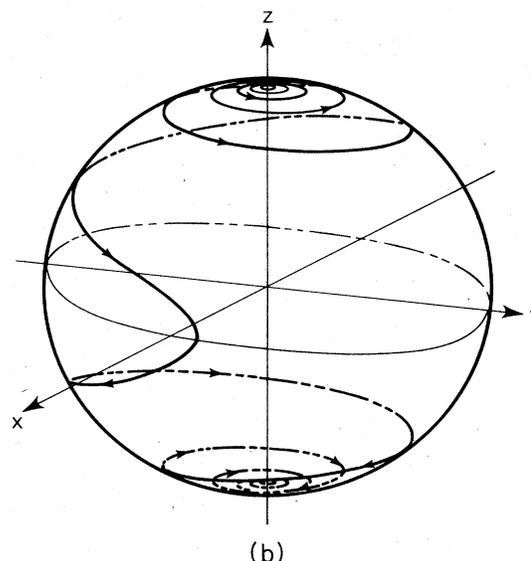
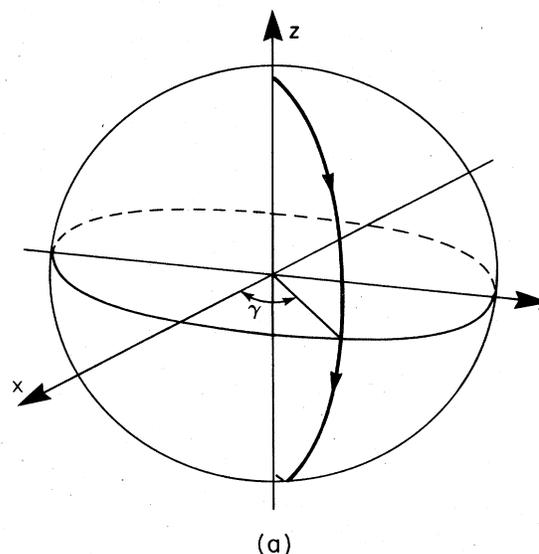


FIG. 2. Inverting magnetization trajectories for an on-resonance spin for the (a) FM and (b) PM frames calculated from Eqs. (9) and (11), and (13) and (14), respectively, with  $\gamma = 0.1$ .

great circle trajectory is of the form

$$\mathbf{M}(t) = (\cos\gamma \cos\epsilon, \sin\gamma \cos\epsilon, -\sin\epsilon), \quad (9)$$

where  $\gamma$  is a constant azimuthal angle and  $\epsilon$  is a polar angle.  $\epsilon$  is a function of  $t$  that is to be determined. Since the trajectory depends on the resonance offset, we specify that  $\Delta\omega = 0$ , i.e., that Eq. (9) should hold on resonance. In addition, we initially search for a pulse with a constant amplitude equal to  $\omega_1^0$ . The general case of amplitude modulation is treated later. Equations (8) and (9) lead to

$$(-\dot{\epsilon} \cos\gamma \sin\epsilon, -\dot{\epsilon} \sin\gamma \sin\epsilon, -\dot{\epsilon} \cos\epsilon) = (-\dot{\phi} \sin\gamma \cos\epsilon, -\omega_1^0 \sin\epsilon + \dot{\phi} \cos\gamma \cos\epsilon, -\omega_1^0 \sin\gamma \cos\epsilon), \quad (10)$$

which implies

$$\epsilon = (\omega_1^0 \sin \gamma) t, \quad (11)$$

$$\dot{\phi} = \omega_1^0 \cos \gamma \tan[(\omega_1^0 \sin \gamma) t], \quad (12)$$

where

$$-\frac{\pi}{2\omega_1^0 \sin \gamma} < t < \frac{\pi}{2\omega_1^0 \sin \gamma}.$$

Equation (12) dictates a class of phase-modulated pulses that invert spin populations exactly on resonance, since it is derived from the inverting trajectory in Eq. (9). With  $\gamma = \pi/2$ , the phase is constant, the PM and FM frames coincide, and a standard  $\pi$  pulse is recovered.  $\mathbf{M}(t)$  is confined to a plane perpendicular to the plane of the effective field. As  $\gamma$  approaches zero, the phase modulation deepens, the pulse length increases, and the plane of the magnetization trajectory approaches coincidence with the plane of the effective field, suggesting adiabatic behavior. Equation (11) indicates that  $\mathbf{M}(t)$  moves with a constant angular velocity along the trajectory of Eq. (9) regardless of the value of  $\gamma$ , provided that  $\omega_1$  is constant.

The derivation of the phase modulation has been carried out in the FM frame. Since the PM and FM frames are related by a rotation about  $z$  by  $\phi(t)$ , the trajectory in the PM frame does not follow a great circle, but is still an inverting trajectory. This is shown in Fig. 2(b). To obtain  $\phi(t)$ , we integrate Eq. (12):

$$\phi(t) = -\cot \gamma \ln \{ \cos[(\omega_1^0 \sin \gamma) t] \},$$

where

$$-\frac{\pi}{2\omega_1^0 \sin \gamma} < t < \frac{\pi}{2\omega_1^0 \sin \gamma}. \quad (13)$$

$\dot{\phi}(t)$  and  $\phi(t)$  are plotted in Fig. 3. A pulse specified by Eqs. (12) and (13) will be referred to as a modulated inver-

sion pulse (MIP). The magnetization trajectory in the PM frame [Fig. 2(b)] is

$$\begin{aligned} M_x^{\text{PM}} &= \cos[\gamma + \phi(t)] \cos[(\omega_1^0 \sin \gamma) t], \\ M_y^{\text{PM}} &= \sin[\gamma + \phi(t)] \cos[(\omega_1^0 \sin \gamma) t], \\ M_z^{\text{PM}} &= -\sin[(\omega_1^0 \sin \gamma) t]. \end{aligned} \quad (14)$$

### C. Inversion performance off resonance

Although the MIP is derived so as to invert spin populations on resonance, the appearance of adiabatic behavior suggests that spin populations may be inverted over large ranges of resonance offsets as  $\gamma$  approaches zero. Figure 4 shows simulations of the inversion performance of the MIP as a function of the resonance offset for several values of  $\gamma$ . The extent of inversion is defined to be the negative of the final  $z$  component of  $\mathbf{M}$ . Apparently, the range of offsets for which the inversion is nearly complete can be made as large as desired by taking  $\gamma$  to be sufficiently small.

### D. Transformation to amplitude-modulated pulses

Equation (13) is derived above with the assumption of a constant pulse amplitude. Although there is at most one rf phase function that yields a given magnetization trajectory on resonance with a given constant rf amplitude, there may be an infinite variety of combinations of phase and amplitude functions, if amplitude modulation is allowed. Here we present a method for converting a phase-modulated, constant-amplitude pulse to a pulse with both phase and amplitude modulation that produces the same trajectory on resonance.

The essential idea becomes apparent from considering a single pulse with a constant phase  $\phi_0$ , a constant am-

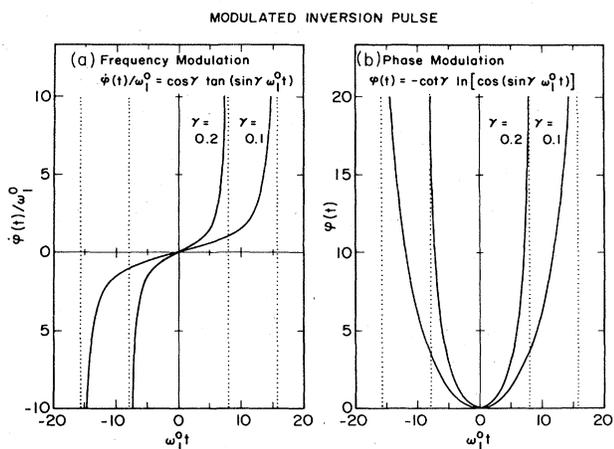


FIG. 3. (a) Continuously frequency and (b) phase modulated inversion pulse (MIP) plotted versus  $\omega_1^0 t$  for values of  $\gamma = 0.2$  and  $0.1$ . The pulse amplitude is constant and the phase modulation increases as  $\gamma$  decreases. Also, as  $\gamma \rightarrow 0$  the overall length increases ( $2\omega_1^0 t = \pi/\sin \gamma$ ). The MIP is an exact analytical solution to the problem of population inversion on resonance ( $\Delta\omega = 0$ ) for all values of  $\gamma$ .

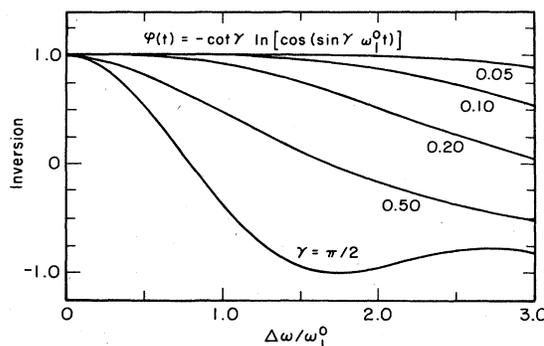


FIG. 4. Simulations of spin inversion from the MIP as a function of the relative resonance offset for various values of  $\gamma$ . Inversion is defined as the negative of the final  $z$  component of the spin angular momentum; initially, the spin system has a  $z$  component of  $+1$ . For all values of  $\gamma$ , the inversion is always perfect on resonance. For  $\gamma = \pi/2$ , i.e., no phase modulation, the MIP is equivalent to a standard  $\pi$  pulse, which can be used here as a reference. As  $\gamma \rightarrow 0$ , i.e., increasing phase modulation, good inversion is accomplished over an increasingly large range of frequencies.

plitude  $\omega_1^0$ , and a length  $\tau$ . The effect of such a pulse when  $\Delta\omega=0$  is to produce a rotation of  $\mathbf{M}$  by an angle  $\omega_1^0\tau$  about an axis in the  $x$ - $y$  plane at an angle  $\phi_0$  to the  $x$  axis. Since it is only the area of the pulse that matters, however, the net effect is unaltered if the pulse amplitude is changed, provided that the pulse length is also changed so that the pulse area remains equal to  $\omega_1^0\tau$ . In general, a phase-modulated, constant-amplitude pulse can be approximated to arbitrarily high accuracy by a sequence of many constant-phase, constant-amplitude pulses. In order to transform the overall pulse to some desired amplitude modulation, it is then only necessary to increase or decrease the amplitudes of the individual pulses and correspondingly decrease or increase their lengths. The total pulse area must remain constant. Figure 5 illustrates the procedure.

Mathematically, the amplitude transformation is a distortion of time. In general, suppose a pair of functions  $\omega_1(t)$  and  $\phi(t)$  produce a certain magnetization trajectory, with

$$\int_{-\infty}^{\infty} \omega_1(t) dt = A. \quad (15)$$

If there is another amplitude function  $\bar{\omega}_1(t)$ , also with area  $A$ , then we implicitly define a time transformation  $t' = h(t)$  by the relation

$$\int_0^{t'} \omega_1(u) du = \int_0^t \bar{\omega}_1(u) du. \quad (16)$$

The phase function  $\bar{\phi}(t) = \phi(h(t))$ , along with the amplitude function  $\bar{\omega}_1(t)$ , will produce the same magnetization trajectory.

Thus we have arrived at the most general procedure for finding phase and amplitude combinations that produce a

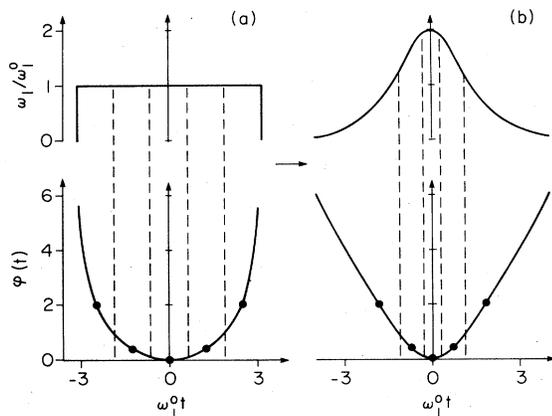


FIG. 5. Schematic representation of the transformation from (a) a pulse with constant amplitude and phase modulation to (b) a pulse with both amplitude and phase modulation. In (a) the total time interval is divided into subintervals of length  $\tau$ , represented by the dashed lines, which are each assigned a constant phase and a flip angle equal to  $\omega_1\tau$ . The transformation from (a) to (b) is effected by choosing the desired overall amplitude modulation, and then changing the lengths of the individual pulses while still maintaining that their flip angle remain equal to  $\omega_1\tau$ . The new phase modulation emerges from the time transformation.

desired magnetization trajectory. We first derive a unique constant-amplitude pulse. Then we may transform to any other amplitude function of the same area, with the trajectory uniquely determining the pulse area.

To derive the pulses of Allen and Eberly, we transform the pulses of Eq. (13) to the amplitude function of Eq. (1). The corresponding time transformation is

$$h(t) = \frac{1}{\omega_1^0 \sin \gamma} \tan^{-1} [\sinh(\omega_1^0 t)]. \quad (17)$$

While the pulses of Eqs. (1) and (2), and of Eq. (12), yield the same on-resonance trajectory, the utility of the pulses lies in their ability to invert spins off resonance. The significant, dimensionless quantity that characterizes off-resonance behavior is the ratio  $\Delta\omega/\omega_1$ . In simulations we find that the constant-amplitude pulses of Eq. (13) give inversion over a larger range of resonance offsets than the amplitude-modulated pulses of Eqs. (1) and (2). An explanation for this is that  $\Delta\omega/\omega_1$  is always at its minimum for the constant-amplitude pulses.

### III. POPULATION INVERSION BY ADIABATIC SWEEPS

We saw in Sec. II that modulated pulses invert spins perfectly on resonance and also over a large range of frequencies as  $\gamma \rightarrow 0$ . Because the on-resonance magnetization trajectories are suggestive of adiabaticity, we now treat the above pulse in the framework of adiabatic sweeps and compare different adiabatic approaches.

#### A. Criteria for adiabatic inversion

The Hamiltonian of Eq. (6) can be written

$$H^{\text{FM}} = \omega_{\text{eff}}(t) \cdot \mathbf{I}, \quad (18)$$

$$\omega_{\text{eff}}(t) = (-\omega_1(t), 0, \Delta\omega + \dot{\phi}(t)). \quad (19)$$

Spin populations may be inverted adiabatically if  $\dot{\phi}(t)$  and  $\omega_1(t)$  are such that the direction of  $\omega_{\text{eff}}(t)$  moves from  $-z$  to  $+z$ , or from  $+z$  to  $-z$ , at a sufficiently slow angular rate. In that case, the magnetization, or spin density operator, is said to follow the effective field  $\omega_{\text{eff}}(t)$ .

If  $\omega_{\text{eff}}(t)$  is written as

$$\omega_{\text{eff}}(t) = \omega_{\text{eff}}(t) (-\cos\theta, 0, \sin\theta), \quad (20)$$

$$\theta = \tan^{-1} \{ [\Delta\omega + \dot{\phi}(t)] / \omega_1(t) \}, \quad (21)$$

the two criteria for adiabatic inversion by a pulse between times  $-t_0$  and  $t_0$  can be stated as follows:<sup>6,7,26</sup>

$$(i) \left| \frac{d}{dt} \theta(t) \right| \ll \omega_{\text{eff}},$$

$$(ii) \theta(\pm t_0) \cong \pm \pi/2.$$

Criterion (i) states that the effective field must change direction slowly compared to the rate at which  $\mathbf{M}^{\text{FM}}$  precesses. In order to quantify criterion (i), we define the adiabaticity factor  $Q(t)$  according to

$$Q(t) = \omega_{\text{eff}}(t) / \left| \frac{d}{dt} \theta(t) \right|. \quad (22)$$

The larger the value of  $Q(t)$ , the more adiabatic the frequency sweep.

In what follows, we consider only sweeps for which  $\omega_1$  is constant and nonzero. Therefore, criterion (ii) requires that the sweep begin far below resonance and end far above resonance, such that  $|\Delta\omega + \dot{\phi}(\pm t_0)| \gg \omega_1$ .

There are many possible forms for  $\dot{\phi}(t)$  that result in adiabatic inversion. We call a sweep efficient if it accomplishes population inversion in a comparatively short time. Different forms of sweeps may have different efficiencies for the following reason. Consider criterion (i). Taking  $\omega_1$  to be constant,  $\omega_{\text{eff}}$  is smallest when  $\theta=0$  and  $\dot{\phi}(t)=-\Delta\omega$ , i.e., when the sweep passes through resonance. It is at this time that criterion (i) is most restrictive so that  $|d\theta(t)/dt|$  must be smallest. When the sweep is far from resonance,  $|d\theta(t)/dt|$  may be larger while still satisfying criterion (i) since  $\omega_{\text{eff}}$  is larger. If  $|d\theta(t)/dt|$  indeed becomes larger far from resonance, criterion (ii) may be satisfied for comparatively small values of  $t_0$ .

In the remainder of this section, three forms of sweeps are examined in light of the above criteria for adiabaticity. The factors that limit their inversion bandwidths are discussed and their efficiencies are contrasted.

### B. Linear sweep

The simplest and most commonly used frequency sweep is a linear sweep defined by

$$\dot{\phi}(t) = -kt, \quad -t_0 \leq t \leq t_0 \quad (23)$$

where  $k$  is the constant sweep rate. Since  $k$  is constant, criterion (i) is satisfied for all values of  $\Delta\omega$  once  $k$  is small enough so that criterion (i) is satisfied at any particular value of  $\Delta\omega$ . For  $\Delta\omega=0$ , a linear sweep has

$$Q(t) = [(\omega_1^0)^2 + \dot{\phi}(t)^2]^{3/2} / k\omega_1^0. \quad (24)$$

$Q(t)$  has its minimum at  $t=0$ , where  $Q(0) = (\omega_1^0)^2 / k$ . Simulations show that the maximum value of  $k$  for which populations are inverted adiabatically with  $\Delta\omega=0$  is given approximately by  $k_{\text{max}} = 0.2(\omega_1^0)^2$ . This limit is determined by simulating the effects of linear sweeps with  $t_0$  taken to be very large.

For values of  $k$  less than or equal to  $k_{\text{max}}$ , criterion (i) is satisfied throughout the sweep. With  $k$  fixed, the choice of  $t_0$  determines whether criterion (ii) is satisfied.

Simulations of inversion as a function of  $\Delta\omega/\omega_1^0$  for linear sweeps with  $k=0.2(\omega_1^0)^2$  and various values of  $t_0$  are shown in Fig. 6. For the inversion to be essentially complete for  $\Delta\omega=0$ , the minimum length of the sweep must be given approximately by  $2t_0 = 100\omega_1^0$ . Inversion is achieved over a large range of resonant frequencies because criterion (ii) is satisfied for a large range of resonant frequencies once it is satisfied for  $\Delta\omega=0$ . In other words,  $\dot{\phi}(\pm t_0)$  is only a weak function of  $\Delta\omega$  when  $|\dot{\phi}(\pm t_0)| \cong \pi/2$ . However, it is still criterion (ii) that ultimately limits the inversion bandwidth for any given value of  $t_0$ .

### C. Modulated inversion pulse

When treated as a frequency sweep, the MIP of Eq. (12) and Fig. 3(a) satisfies criterion (ii) for all values of  $\gamma$  and

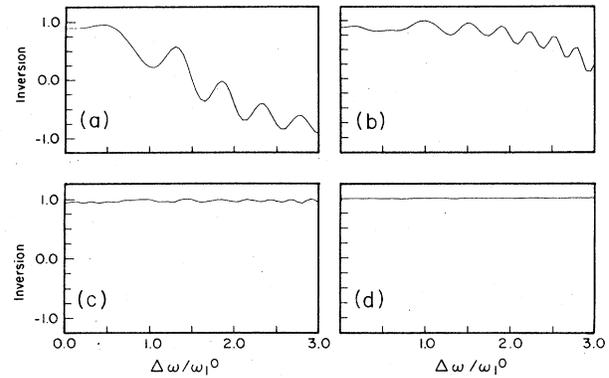


FIG. 6. Simulations of inversion as a function of resonance offset, resulting from the linear frequency sweep of Eq. (23) of the text with  $k/(\omega_1^0)^2=0.2$ . The linear sweep consists of a constant-amplitude rf field whose frequency is changing at a constant rate of  $k/(\omega_1^0)^2$ . The overall lengths of the sweeps are  $2\omega_1^0 t_0 =$  (a) 15.82, (b) 31.46, (c) 62.86, and (d) 200.0. The minimum overall length required to achieve adiabatic inversion on resonance is approximately  $2\omega_1^0 t_0 = 100$ . Once inversion is achieved on resonance, it is also accomplished over a large range of resonant frequencies. The overall lengths of (a)–(c) are equal to the overall lengths of the sweeps used to simulate inversion performance from the MIP in Fig. 4 when  $\gamma=0.20, 0.10$ , and  $0.05$ , respectively.

$\Delta\omega$ . This is because  $\dot{\phi}(t)$  becomes infinite at the beginning and end of the pulse. Thus, it is criterion (i) that determines whether the MIP functions as an adiabatically inverting frequency sweep. Recall that the MIP was derived in Sec. II in such a way that the inversion at  $\Delta\omega=0$  is complete regardless of  $\gamma$ . The adiabatic nature of the inversion is therefore expressed not by the inversion at  $\Delta\omega=0$ , but rather by the appearance of a large inversion bandwidth as  $\gamma$  decreases.

The adiabaticity factor for the MIP with  $\Delta\omega=0$  is given by

$$Q(t) = \frac{\{1 + [\cos\gamma \tan(\omega_1^0 \sin\gamma)t]\}^{3/2}}{\{\cos\gamma \sin\gamma [1 + \tan^2(\omega_1^0 \sin\gamma)t]\}}. \quad (25)$$

$Q(t)$  has its minimum at  $t=0$ , where  $Q(0) = (\cos\gamma \sin\gamma)^{-1}$ . Broadband inversion occurs when  $\gamma$  is less than or about equal to 0.20, as was seen in Fig. 4. When  $\gamma=0.20$ ,  $Q(0)=5.1$ . This result is consistent with the finding that a linear sweep effectively inverts populations only when the sweep rate  $k$  is less than or about equal to  $0.2(\omega_1^0)^2$ , making the adiabaticity factor for a linear sweep greater than or equal to 5. Thus, the adiabaticity factor appears to be a meaningful quantity for predicting the performance of a frequency sweep. In addition, the agreement of the adiabaticity factors for the MIP and the linear sweep supports the contention that the broadband properties of the MIP are due to the adiabatic nature of the inversion.

A comparison of Figs. 4 and 6 reveals that nearly complete inversion is achieved by the MIP in less time than by a linear sweep. The sweeps in Figs. 6(a)–6(c) require the same total time as the MIP in Fig. 4 with  $\gamma=0.20, 0.10$ ,

and 0.05, respectively. The inversion results in Fig. 4 are generally superior, however. Thus, the MIP is a more efficient frequency sweep. This is because the instantaneous sweep rate, i.e.,  $\dot{\phi}$  greater at the beginning and end of the sweep than at  $t=0$ .

The fact that the sweep rate is not constant makes criterion (i) the limiting factor on the inversion bandwidth for the MIP. At resonant frequencies for which the sweep rate is rapid as the sweep passes through resonance, defined by the condition  $\dot{\phi}(t) = -\Delta\omega$ , criterion (i) is not satisfied and populations are not inverted.

#### D. Constant adiabaticity pulse

A third class of frequency sweeps may be derived by making the restriction that  $Q(t)$  be constant when  $\Delta\omega=0$ ,

$$Q(t) = q. \quad (26)$$

Based on the above discussion, such a sweep with  $q \cong 5$  is expected to be particularly efficient for adiabatic inversion.

Equation (26) implies

$$q \frac{d\theta}{dt} = \omega_{\text{eff}}. \quad (27)$$

In addition, we have

$$\omega_{\text{eff}} \cos\theta = \omega_1^0, \quad (28)$$

$$\omega_{\text{eff}} \sin\theta = \dot{\phi}. \quad (29)$$

Equations (27) and (28) imply

$$\sin\theta = \omega_1^0 t / q. \quad (30)$$

Equations (29) and (30) lead to

$$\dot{\phi}(t) = -(\omega_1^0)^2 t / [q^2 - (\omega_1^0)^2 t^2]^{1/2}, \quad (31)$$

$$-q/\omega_1^0 < t < q/\omega_1^0.$$

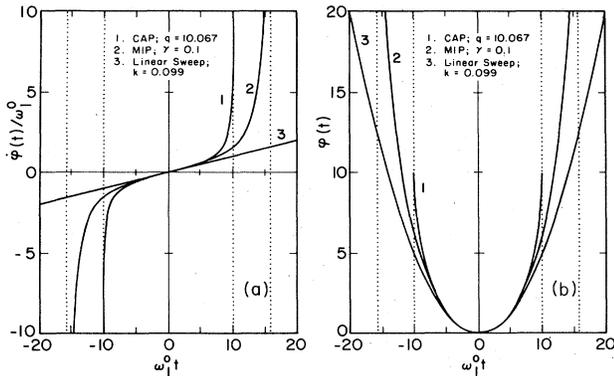


FIG. 7. Comparison of three adiabatic (a) frequency- and (b) phase-modulated pulses: the constant adiabatic pulse (CAP), the MIP, and the linear sweep. The CAP, a constant amplitude pulse, was derived from considerations of efficiency for adiabatic sweeps. In this figure the parameters were chosen such that the adiabaticity factor  $Q(t)$  defined by Eq. (22) be equal to 10.067 for all three pulses at  $t=0$ . The larger the value of  $Q(t)$ , the more adiabatic the sweep.

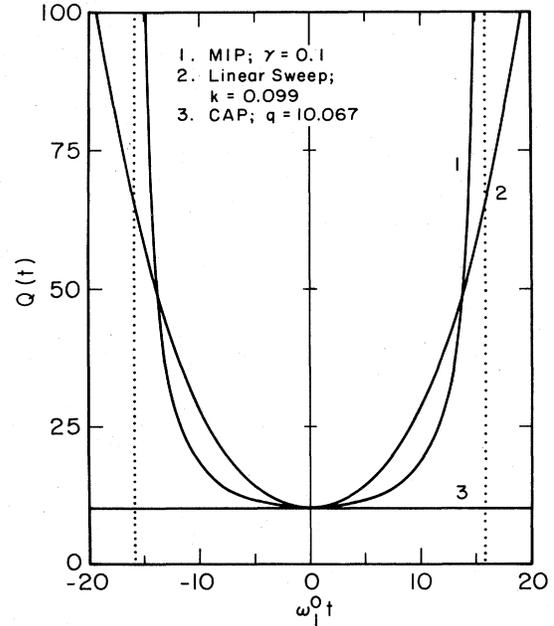


FIG. 8. Comparison of the adiabaticity factors  $Q(t)$  with  $\Delta\omega=0$  for the MIP, the linear sweep, and the CAP.  $Q(t)$  is defined in the text by Eqs. (25), (24), and (26), respectively. The efficiency of the sweep is determined by the length of time  $Q(t)$  remains close to its minimum; the linear sweep is the least efficient sweep.

Equation (31) defines the desired frequency sweep, which we refer to as the constant adiabaticity pulse (CAP). Integration of Eq. (31) gives the equivalent phase modulation:

$$\phi(t) = -[q^2 - (\omega_1^0)^2 t^2]^{1/2} + q. \quad (32)$$

Note that  $\phi(t)$  remains finite, although  $\dot{\phi}(t)$  becomes infinite at  $t = \pm q/\omega_1^0$ .

Figure 7 is a comparison of the frequency and phase modulations of the CAP, the MIP, and the linear sweep. The specific parameters in Fig. 7 are chosen so that the adiabaticity factor at  $t=0$  is the same for the three sweeps. For a given minimum adiabaticity factor, the CAP requires the least total time of the three sweeps.

The adiabaticity factors as functions of time for the CAP, the MIP, and the linear sweep with  $\Delta\omega=0$  are shown in Fig. 8. The adiabaticity factor has its minimum value throughout the sweep for the CAP. The adiabaticity factor for the MIP remains close to its minimum value for a greater portion of the sweep than for a linear sweep.

The inversion performance as a function of  $\Delta\omega$  for the CAP with various values of  $q$  is shown in Fig. 9. The values of  $q$  are chosen so that the overall lengths of the sweeps in Fig. 9 are the same as those in Fig. 4. The bandwidth of the CAP is limited by criterion (i). A comparison of Figs. 4, 6, and 9 reveals that the MIP exhibits the best inversion performance for equal sweep lengths.

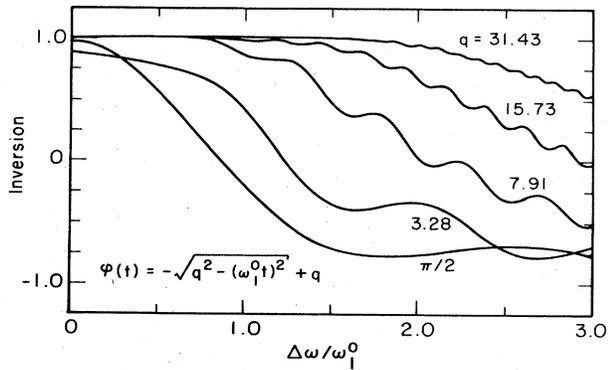


FIG. 9. Simulations of inversion as a function of  $\Delta\omega/\omega_1^0$  for the CAP. The overall lengths of the sweeps were chosen such that they correspond to the overall lengths of the sweeps of Fig. 4 ( $q = \pi/2 \sin \gamma$ , the overall length is  $2q$ ). Simulations indicate that when  $Q(0) \geq 5$ , the CAP, the MIP, and the linear sweep exhibit adiabatic inversion over a large range of frequencies. A comparison of Figs. 4, 5(a)–5(c), and 8 indicate that the MIP produces the best adiabatic broadband inversion for equal sweep lengths and always inverts on resonance spins.

IV. INVERSION IN AN INHOMOGENEOUS rf FIELD

Although the MIP was derived by considering a particular class of inverting trajectories for a spin on resonance, Secs. II and III show that the MIP may invert spin populations over large ranges of resonance frequencies due to its adiabatic characteristics. Adiabatic sweeps may invert populations over large ranges of rf amplitudes as well as resonance frequencies. Therefore, in this section we investigate the inversion performance of the MIP as a function of  $\omega_1$ . Deviations of  $\omega_1$  from its nominal value of  $\omega_1^0$  arise experimentally from rf inhomogeneity and from miscalibration of the rf field. In coherent optics, it is the laser-beam profile that is the analogous source of amplitude inhomogeneity.

The inversion performance as a function of  $\omega_1$  may be anticipated by referring to the criteria for adiabatic inver-

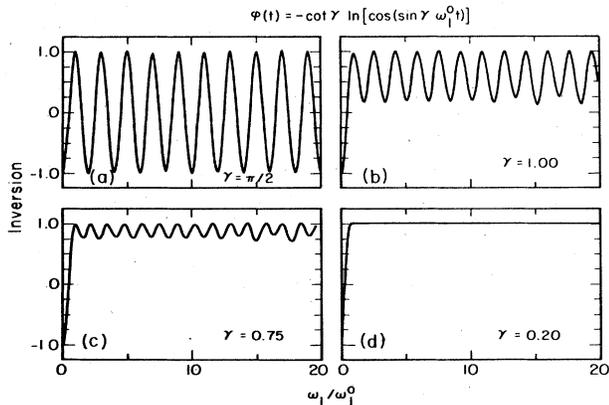


FIG. 10. Simulations of inversion as a function of  $\omega_1/\omega_1^0$  for the MIP with values of  $\gamma$  as shown. When  $\gamma \leq 0.2$  [ $Q(0) \geq 5$ ], the inversion becomes perfect over a very large range of  $\omega_1$ .

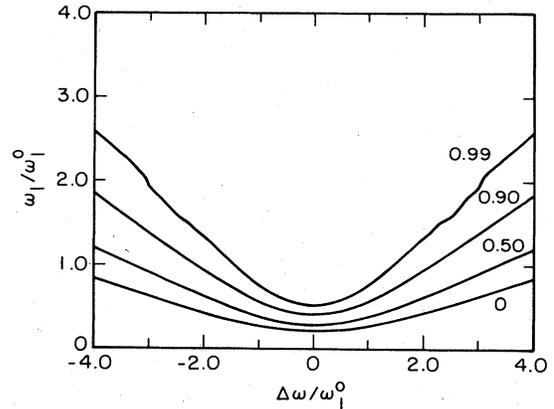


FIG. 11. Simulated contour plot of population inversion as a function of  $\Delta\omega$  and  $\omega_1$  for the MIP with  $\gamma = 0.10$ . The MIP compensates simultaneously for resonance offset and rf inhomogeneity effects.

sion discussed in Sec. III. For the MIP, criterion (ii) is automatically satisfied, since  $\phi(t)$  becomes infinite at  $\pm t_0$ . Once criterion (i) is satisfied for  $\omega_1 = \omega_1^0$ , it is satisfied even more strongly for  $\omega_1 > \omega_1^0$ . Therefore, it is expected that essentially complete inversion may be achieved over a large range of  $\omega_1$  when the MIP becomes adiabatic, i.e., for  $\gamma \leq 0.20$ .

Figure 10 shows simulations of inversion as a function of  $\omega_1$  for the MIP with various values of  $\gamma$ . The above predictions are verified. Figure 11 shows a simulated contour plot of inversion as a function of  $\omega_1$  and  $\Delta\omega$  simultaneously for the MIP with  $\gamma = 0.10$ . A large region of essentially complete inversion is apparent.

For comparison, Fig. 12 shows the inversion performance of a linear sweep as a function of  $\omega_1$ . Much smaller bandwidths are achieved with much longer sweeps. For a linear sweep, criterion (i) of Sec. III is again satisfied for  $\omega_1 > \omega_1^0$  once it is satisfied for  $\omega_1 = \omega_1^0$ . However, criterion (ii) is not automatically satisfied. Rather,  $\theta(t_0)$  is a strong function of  $\omega_1$  when  $|\theta(t_0)| \cong \pi/2$ , so that criterion (ii) is not met at large  $\omega_1$ .

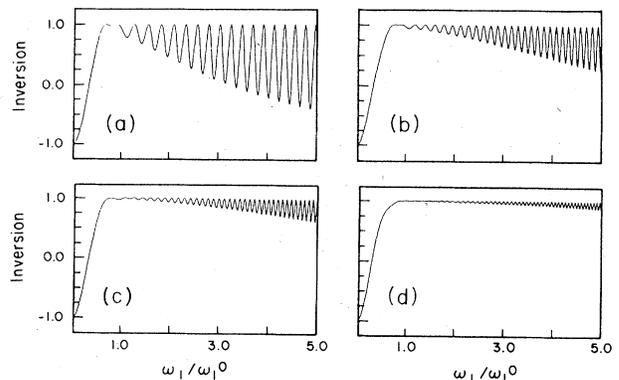


FIG. 12. Simulated inversion performance as a function of  $\omega_1/\omega_1^0$  for the linear sweep with  $k/(\omega_1^0)^2 = 0.2$ . The overall pulse lengths are  $2\omega_1^0 t_0 =$  (a) 31.46, (b) 62.86, (c) 100.0, and (d) 200.0. For longer sweeps than shown in Fig. 10, the inversion is poorer.

## V. GENERATION OF DISCRETE COMPOSITE PULSES FROM CONTINUOUSLY PHASE-MODULATED PULSES

It is often difficult to implement the single continuously phase-modulated pulse experimentally. Frequently, it is more convenient to use a sequence of phase-shifted rf pulses forming a composite  $\pi$  pulse. This section describes the method by which we approximate the continuous pulse by discrete pulse sequences that have both unrestricted phases as well as rf phases which occur only as multiples of a specified value.

### A. General method of approximation using magnetization trajectories

The goal is to arrive at a discrete pulse sequence with inversion properties that are very similar to those of the continuously phase-modulated pulse. In the computer simulations described above, the MIP is approximated with a large number of pulses, each with a small flip angle, by extracting the individual pulse phases and flip angles from  $\phi(t)$  in Eq. (13). This was done by dividing the total time interval into subintervals and assigning a constant phase to each subinterval as shown in Fig. 13(a). As the number of pulses, or subintervals, increases and the flip angles become smaller, this is an increasingly accurate approximation. However, if the number of pulses is small, i.e., less than 100, this is a poor approximation, particularly for small  $\gamma$ . The spin evolution brought about by the MIP over a subinterval is not the same as that brought about by a constant-phase pulse with a phase equal to  $\phi(t)$  at the midpoint of that subinterval. Errors in the magnetization trajectory accumulate from one subinterval to the next, so that even on-resonance spins are no longer inverted. Clearly, a new approximation method is needed. Our method is based on following the on-resonance magnetization trajectory  $\mathbf{M}^{\text{PM}}(t)$ .

Figure 13(b) is a schematic representation of the method used. The first step is to approximate the trajectory of the magnetization by choosing points in time along it. We then calculate the constant-phase pulses that give the evolution of the magnetization from one point to the next. The result is a sequence of radio-frequency pulses or a composite pulse whose magnetization trajectory and inverting properties are very similar to those generated from the continuous pulse.

### B. Pulse sequences with unrestricted phases

For a  $2n - 1$  pulse sequence, we need to choose  $2n + 1$  points on the trajectory  $\mathbf{M}(t)$ . These points are denoted by  $\mathbf{M}_0, \mathbf{M}_1, \dots, \mathbf{M}_n, \dots, \mathbf{M}_{2n}$ . The individual flip angles and phases of the derived pulse sequences are denoted respectively by  $\theta_1, \dots, \theta_{2n-1}$  and  $\phi_1, \dots, \phi_{2n-1}$ , where  $\theta = \omega_1^0 \tau_i$ , and  $\tau_i$  is the length of the  $i$ th rf pulse. We set the first point  $\mathbf{M}_0 = +z$  and the last point  $\mathbf{M}_{2n} = -z$  to ensure that on-resonance spins are always perfectly inverted by the discrete pulse sequence. Then, in order to follow the trajectory as closely as possible, as indicated in Fig. 13(b), more points are selected in the region where

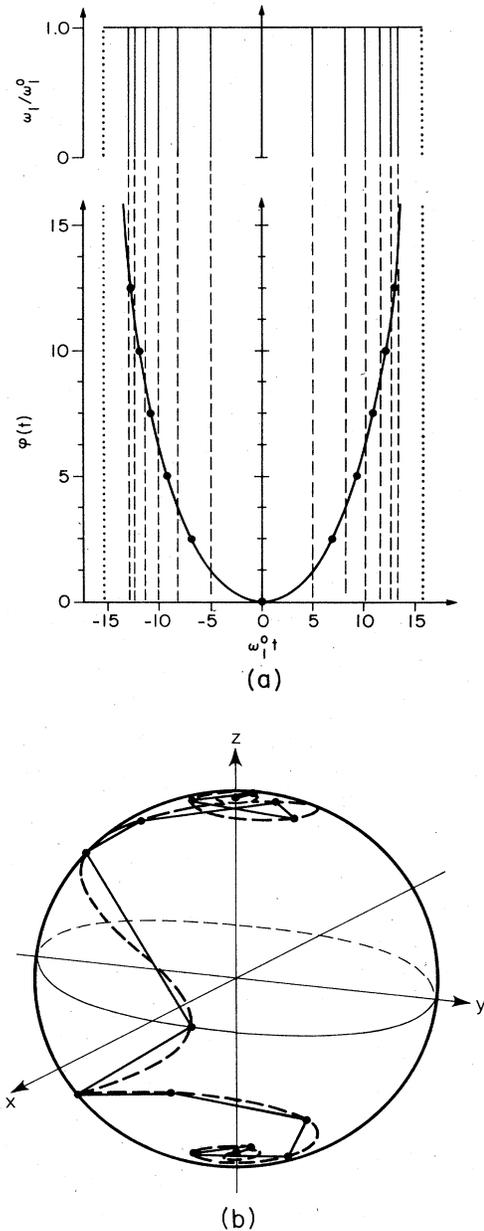


FIG. 13. (a) Schematic diagram of a method for approximating the MIP by a large number of constant-phase pulses. The total length of the pulse is divided into a large number of subintervals with lengths inversely proportional to  $\dot{\phi}(t)$ ; this is indicated by the dotted lines. The pulse flip angles are calculated from the subinterval lengths and the constant amplitude. Based on  $\phi(t)$ , a constant phase is assigned to each subinterval. If the number of pulses is small, this is a poor approximation. (b) Schematic representation of the method used to approximate the continuously modulated pulse (MIP) by a discrete pulse sequence. The magnetization trajectory  $\mathbf{M}^{\text{PM}}(t)$  of an on-resonance spin subjected to the MIP is approximated by a discrete number of points. The flip angle and constant phase that give the evolution of the magnetization from one point to the next are calculated. The result of this "connect-the-dots" technique is a composite pulse whose inversion properties are similar to those of the continuous pulse.

$\mathbf{M}^{\text{PM}}(t)$  spirals more. A weighting function,  $\phi(t)$ , which is itself a function of  $\gamma$  is used to generate a set of times  $(t_1, \dots, t_{2n-1})$  from which the intermediate points  $\mathbf{M}_i = \mathbf{M}^{\text{PM}}(t_i)$  can be calculated.

More specifically, the intermediate points are calculated as follows. First, we choose a value  $t_c < 0$  which represents a cutoff time for  $\phi(t)$ . The means by which  $t_c$  is chosen are discussed below. We evaluate  $\phi(t_c)$  and calculate a set of phases  $[\phi(t_1), \dots, \phi(t_n)]$  satisfying

$$\phi(t_i) = \phi(t_c)(n-i)/n. \quad (33)$$

Using the set of times  $(t_1, \dots, t_n)$  calculated from the set of phases above, we find  $\mathbf{M}_1$  through  $\mathbf{M}_n$  by evaluating  $\mathbf{M}_i = \mathbf{M}^{\text{PM}}(t_i)$ . The remaining points are determined by the symmetry of  $\mathbf{M}^{\text{PM}}(t_i)$ ;  $\mathbf{M}_{2n-i}$  is related to  $\mathbf{M}_i$  by reflection in the  $x$ - $y$  plane. Next, we calculate the phases and flip angles of the  $2n$  pulses that move on-resonance spins between successive points, i.e., that connect  $\mathbf{M}_i$  with  $\mathbf{M}_{i+1}$ . A sequence of pulses with symmetric phases and flip angles emerges. The central two pulses can be fused into one, since they have the same phase, so that an odd number of pulses results.

The "connect-the-dot" method described above ensures that on-resonance spins are inverted. Moreover, the fact that the intermediate  $\mathbf{M}_i$  are chosen according to constant increments in  $\phi(t)$  ensures that more points occur where  $\phi(t)$  is larger, or, in other words, where  $\mathbf{M}^{\text{PM}}(t)$  spirals most rapidly. Thus, we achieve a good approximation to the trajectory generated from the MIP and it may be expected that the broadband inversion properties of the MIP will be preserved.

In this method there are only two parameters which must be computer-optimized in order to get the best inversion performance over resonance offset or rf inhomogeneity effects, for a specified number of pulses. These are  $\gamma$  and  $t_c$ , the latter being the cutoff time on  $\phi(t)$ . They are optimized according to a best-average criterion. This means that we cycle through different values of  $\gamma$  and  $t_c$  within certain restrictions, and find the values for which the average inversion, over a specified bandwidth of offsets or rf values, is a maximum.

Figure 14 illustrates three composite pulse sequences that are optimized for broadband inversion with respect to  $\omega_1$ . Both simulations and experiments are shown. Inversion results for a single  $\pi$  pulse are plotted as a reference. The inversion performance improves for a larger number of pulses.

### C. Composite pulses with constant-phase increments

For reasons of experimental convenience, it would be desirable to derive sequences in which rf phases occur as multiples of a constant phase. In looking at the form of the pulse sequences derived earlier, we see that the phases  $\phi_2$  to  $\phi_{2n-1}$  of the  $2n-1$  pulse sequence occur in constant increments, but that  $\phi_1$  and  $\phi_{2n-1}$  are arbitrary and hold no relationship to the other phases. Our goal in this section is to devise a method whereby we are able to specify the value of the constant-phase increment, as well as make the first and last pulse have a phase that is some multiple

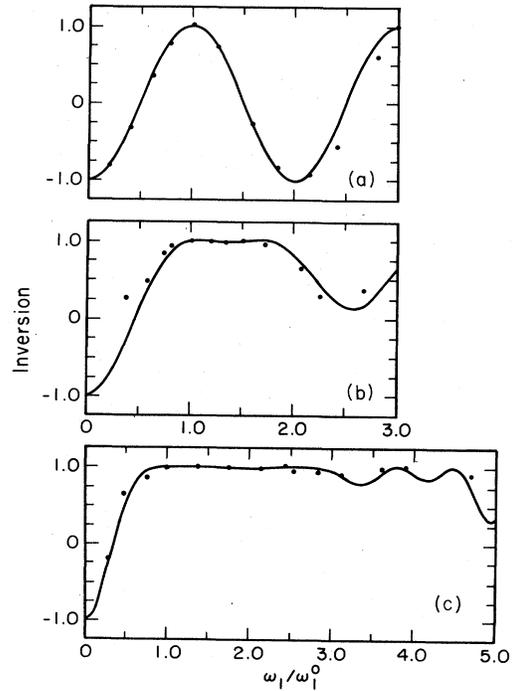


FIG. 14. Simulations (solid lines) and  $^1\text{H}$  experimental measurements (dots) of population inversion as a function of  $\omega_1/\omega_1^0$  for discrete pulse sequences derived from the MIP using the technique described in Fig. 13(b). Results are shown for (a) single  $\pi$  pulse presented as a reference; (b) three-pulse sequence  $(54)_{90}(162.8)_0(54)_{90}$ , and (c) 31-pulse sequence  $(18.3)_{264}(4.8)_{185}^-(5.3)_{172}(5.7)_{159}(6.3)_{146}(6.9)_{132}(7.6)_{119}(8.5)_{106}(9.4)_{93}(10.6)_{79}(12.0)_{66}^-(13.9)_{53}(16.4)_{40}(20.2)_{26}(27.3)_{13}(27.0)_0(27.3)_{13}(20.2)_{26}(16.4)_{40}(13.9)_{53}^-(12.0)_{66}(10.6)_{79}(9.4)_{93}(8.5)_{106}(7.6)_{119}(6.9)_{132}(6.3)_{146}(5.7)_{159}(5.3)_{172}^-(4.8)_{185}(18.3)_{264}$ . The notation is  $(\theta)_\phi$ , where  $\theta$  and  $\phi$  are the flip angles and phases of individual pulses in degrees.

of that increment.

In our method the values of the phases  $\phi_2$  to  $\phi_{2n-2}$  of the derived pulse sequence are determined solely from the constant-phase increment used on the weighting function  $\phi(t)$ . The phase of the  $i$ th pulse is calculated from the  $(i-1)$ st and  $i$ th point on the trajectory by

$$\phi_i = \tan^{-1} \left[ \frac{M_x(t_i) - M_x(t_{i-1})}{M_y(t_{i-1}) - M_y(t_i)} \right], \quad 2 \leq i \leq 2n-1. \quad (34)$$

By substituting the values for  $M_x$  and  $M_y$  of Eq. (14) into the above equation, and using the fact that  $\phi(t_i) = (n-i)\phi_0$  [Eq. (33)], we find that

$$\phi_i = i\phi_0 + C, \quad (35)$$

where  $C$  is constant. This indicates that the times corresponding to constant-phase increments in  $\phi(t)$  also correspond to points on  $\mathbf{M}(t)$  that may be connected by pulses with constant-phase increments. Therefore we can specify  $\phi_0$  to be any constant phase we desire, and for a  $2n-1$  pulse sequence all the calculated pulses from the trajectory between 2 and  $2n-2$  will have phases that differ by a multiple of  $\phi_0$ .

To ensure that the first and last pulse also have a phase

that is a multiple of  $\phi_0$ , the following procedure is used. Rather than setting  $\mathbf{M}_0$  and  $\mathbf{M}_{2n}$  at  $\pm z$  as before, we now choose  $\mathbf{M}_{2n}$  such that the pulse connecting  $\mathbf{M}_{2n-1}$  to  $\mathbf{M}_{2n}$  has a phase  $\phi_{2n-1} = m\phi_0$ , where  $m$  is an integer. In order

$$\theta_{2n-1} = \tan^{-1} \left[ \frac{M_x(t_{2n-1})\sin(m\phi_0) - M_y(t_{2n-1})\cos(m\phi_0)}{M_z(t_{2n-1})} \right]. \quad (36)$$

$\mathbf{M}_0$  is found from  $\mathbf{M}_{2n}$  by symmetry. As before, the composite pulse is found by calculating the phases and flip angles which connect all the points  $\mathbf{M}_i$ .

Note that contrary to before, we no longer optimize  $t_c$  and, thereby,  $\phi_0$ , but rather  $\phi_0$  is chosen and  $t_c$  is found from  $\phi(t_c) = n\phi_0$ . The only parameter to be varied is  $\gamma$ , and once again the best-average criterion is used to select the pulse sequence that inverts best over the specified range of frequencies and rf amplitudes.

In Fig. 15 we show computer simulations and experimental data of inversion versus resonance offset for pulse sequences generated by the above method. As expected, when the pulse sequence becomes longer, inversion is achieved over a large range of offsets. The inversion bandwidths are comparable to those achieved by recently developed iterative techniques.<sup>21,22</sup>

#### D. Experimental methods

All of our experiments were performed on a small  $\text{H}_2\text{O}(l)$  sample using a homebuilt spectrometer operating at a proton-resonance frequency of 360 MHz. The pulse sequence used in the experiments consisted of a composite pulse followed by a delay  $\tau = 100$  ms, followed by a  $\pi/2$  detection pulse. Large static field inhomogeneity causes

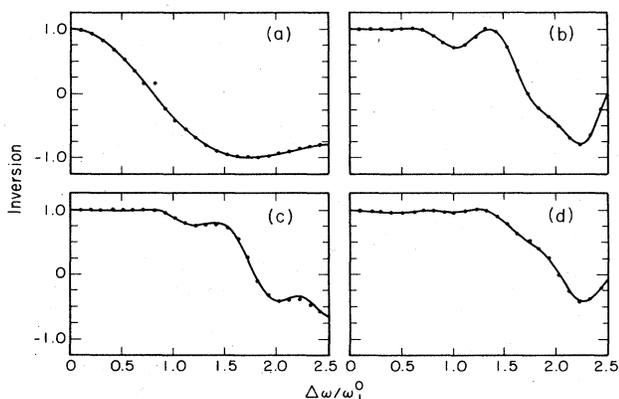


FIG. 15. Simulations (solid lines) and  $^1\text{H}$  experimental measurements (dots) of population inversion as a function of  $\Delta\omega/\omega_1^0$  for discrete pulse sequences derived from the MIP. Results are shown for (a) single  $\pi$  pulse presented as a reference, (b) seven-pulse sequence (39.6)<sub>315</sub>(68.4)<sub>180</sub>(87.9)<sub>90</sub>(275.7)<sub>0</sub>(87.9)<sub>90</sub>(68.4)<sub>180</sub>(39.6)<sub>315</sub>, (c) 11-pulse sequence (30.2)<sub>270</sub>(28.7)<sub>180</sub>(34.9)<sub>135</sub>(43.8)<sub>90</sub>(58.9)<sub>45</sub>(225.4)<sub>0</sub>(58.9)<sub>45</sub>(43.8)<sub>90</sub>(34.9)<sub>135</sub>(28.7)<sub>180</sub>(30.2)<sub>270</sub>, and (d) 15-pulse sequence (21.5)<sub>0</sub>(19.4)<sub>270</sub>(23.0)<sub>225</sub>(27.5)<sub>180</sub>(33.6)<sub>135</sub>(42.5)<sub>90</sub>(57.5)<sub>45</sub>(222.8)<sub>0</sub>(57.5)<sub>45</sub>(42.5)<sub>90</sub>(33.6)<sub>135</sub>(27.5)<sub>180</sub>(23.0)<sub>225</sub>(19.4)<sub>270</sub>(21.5)<sub>0</sub>.

to still invert on-resonance spins, we also stipulate that  $\mathbf{M}_{2n}$  should remain as close to  $-z$  as possible. Therefore, to find the best position for  $\mathbf{M}_{2n}$ , the flip angle of the last pulse is optimized by setting

transverse magnetization to dephase during  $\tau$ . The ensuing free induction decay was collected and Fourier-transformed to give the final spectra. The resulting peak height was used as a measure of inversion. The peak height resulting from a single  $\pi/2$  pulse alone was used as a calibration. A correction was made for spin-lattice relaxation during  $\tau$ .

Experimental tests of composite pulses designed for broadband inversion with respect to  $\omega_1$  were performed on resonance. The rf amplitude was varied with an attenuator following the transmitter. The length of the detection pulse was adjusted to maintain a constant flip angle. rf amplitudes were calibrated as in Ref. 23. Phase shifts were generated by a digitally controlled phase shifter capable of  $1.4^\circ$  phase increments, with a  $3\text{-}\mu\text{s}$  switching time. The switching time required that delays be inserted between individual pulses. These delays do not affect inversion performance on resonance, although off-resonance performance may be degraded appreciably.

Experimental tests of broadband inversion with respect to the resonance offset required rf phases in  $45^\circ$  increments. This was accomplished by mixing the outputs of the two quadrature-generation circuits in the spectrometer. Each quadrature circuit produces phases in  $90^\circ$  increments. A delay line was inserted between the two circuits, producing a phase difference of  $45^\circ$  between them. The quadrature circuits were driven by a variable intermediate frequency, allowing the resonance offset to be adjusted. The detection pulse was generated independently and maintained on resonance. All experiments were performed with  $\omega_1^0/2\pi = 10$  kHz.

#### VI. SUMMARY

We have described a general analytical procedure for deriving continuously phase-modulated pulses that result in coherent population inversion on resonance. In the general case, both the phase and amplitude of the inverting pulse can be modulated continuously. Here, however, we have focused on a class of constant-amplitude, phase-modulated pulses characterized by a single parameter  $\gamma$ , the depth of modulation. For small values of  $\gamma$ , when the phase modulation is deepened, the modulated inversion pulse (MIP) inverts spin populations simultaneously over large ranges of resonance frequencies and rf amplitudes.

We have proposed that the inversion behavior can be explained by treating the MIP as an efficient adiabatic sweep. To support this, the simulated inversion performance of the MIP is compared to two other adiabatic sweeps in light of two criteria for adiabatic inversion. One sweep is the commonly cited linear frequency sweep and the other is a constant adiabaticity pulse derived

directly from considerations of efficiency for adiabatic inversion. Comparisons indicate that the broadband properties of the MIP are, in fact, due to the adiabatic nature of the pulse, and that for equal sweep length the MIP has superior inversion properties.

Having established the adiabatic properties of the MIP, we then present a method for generating a sequence of phase-shifted rf pulses from the continuously phase-modulated pulse. The composite pulses are calculated directly from the magnetization trajectory followed by on-resonance spins subjected to the MIP. Selected points are chosen along the inverting trajectory and the corresponding constant-phase pulses needed to connect these points are found. The broadband properties of the MIP are retained by the discrete pulse sequences, which can then be implemented on most modern NMR spectrometers. This approach connects modulated transparency and inversion pulses used in optics with composite pulses of NMR.

#### ACKNOWLEDGMENTS

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#### APPENDIX

In this appendix we treat the problem of finding rf pulses that cause on-resonance magnetization to follow a given trajectory. The trajectory in the FM frame is defined by a function  $\mathbf{M}(\epsilon)$ , where  $\mathbf{M}$  is the unit magnetization vector in Eq. (7). In the special case of Eq. (9),  $\epsilon$  was a polar angle. In general,  $\epsilon$  simply a variable that parametrizes the trajectory. Here we require that  $\epsilon$  lie in a unit interval. We make the restrictions that  $\mathbf{M}(\epsilon)$  be continuous and differentiable. These restrictions are con-

sistent with the physical requirements that the trajectory be smooth and unbroken. A piecewise-differentiable trajectory may be treated by considering each piece separately.

With the rf amplitude constant and equal to  $\omega_1^0$ , the task is now to determine  $\epsilon(t)$  and  $\phi(t)$ . With the definition

$$\mu \equiv \frac{d\mathbf{M}}{d\epsilon}, \quad (\text{A1})$$

Eq. (8) becomes

$$\dot{\epsilon}\mu = (-\omega_1^0, 0, \dot{\phi}) \times \mathbf{M}, \quad (\text{A2})$$

leading to

$$\dot{\phi} = \omega_1^0 \frac{\mu_x}{\mu_z}, \quad (\text{A3})$$

$$\dot{\epsilon} = -\frac{\omega_1^0 M_y}{\mu_z}. \quad (\text{A4})$$

Equation (A3) gives the phase modulation as a function of  $\epsilon$ . Equation (A4) gives  $t$  as a function of  $\epsilon$ :

$$t = -\int_0^\epsilon d\epsilon' \frac{\mu_z}{\omega_1^0 M_y}. \quad (\text{A5})$$

Inverting Eq. (A5) gives  $\epsilon$  as a function of  $t$ , which completes the derivation of the phase modulation.

The phase function obtained in this way produces the desired trajectory for  $\Delta\omega=0$  and  $\omega_1(t)=\omega_1^0$ . For nonzero values of  $\Delta\omega$ , the same trajectory may be produced by subtracting the constant  $\Delta\omega$  from  $\dot{\phi}$  in Eq. (A3). Of course, this is equivalent to shifting the rf carrier frequency. The phase function that corresponds to an amplitude-modulated pulse can be derived according to the discussion in Sec. II D.

Finally, it should be realized that not all trajectories are obtainable. In particular, there is no pulse that produces the desired trajectory if  $t$  is not a monotonic function of  $\epsilon$  in Eq. (A5).

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